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- AP7 Rec'd PCT/PTO 02 AUG 2006

FORM PTO 1390 (REV 5-91) US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OF TRANSMITTAL LETTER TO THE UNITED STATE	ATTORNEY DOCKET NUMBER 2006_1191A	
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) NEW	
International Application No. PCT/JP2005/001281	International Filing Date January 24, 2005	Priority Date Claimed January 23, 2004

Title of Invention

METHOD OF ENANTIOSELECTIVE NUCLEOPHILIC ADDITION REACTION OF ENAMIDE TO CARBONYL GROUP AND SYNTHESIS METHOD OF OPTICALLY ACTIVE α -HYDROXY- γ -KETO ACID ESTER AND HYDROXYDIKETONE

Applicant(s) For DO/EO/US Shu KOBAYASHI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [X] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] has been transmitted by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [] A translation of the International Application into English (35 U.S.C. §371(c)(2)).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] have been transmitted by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

- 11. [] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [] A FIRST preliminary amendment.
 - [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [X] Other items or information:

Submission of Abstract, together with English translation of Abstract

U.S. APPLICATION NO. (d'lacona, sec 37 CFR 1.5) NEW		INTERNATIONAL APPLICATION NO. PCT/JP2005/001281		ATTORNEY'S DOCKET NO. 2006_1191A			
15. [] The following fees are submitted					CALCULATIONS	PTO USE ONLY	
Basic National Stage Fee							
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$		
Surcharge of \$130.00 for furnishing priority date (37 CFR 1.492(e)).	\$						
Claims	Number Filed	Number	Extra	Rate			
Total Claims	- 20 =	·· — · — · · · · · · · · · · · · · · ·		X \$50.00	\$		
Independent Claims	- 3 =			X \$200.00	\$		
Multiple dependent claim(s) (if applicable) + \$360.00					\$		
TOTAL OF ABOVE CALCULATIONS =					\$		
[] Small Entity Status is hereby asserted. Above fees are reduced by 1/2.					\$		
SUBTOTAL =				\$			
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$			
TOTAL NATIONAL FEE =				\$			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +					\$		
TOTAL FEES ENCLOSED =					\$		
					Amount to be refunded	\$	
					Amount to be charged	s	
 a. [] A check in the amount of \$to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. [] Please charge my Deposit Account No.23-0975 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any 							
overpayment to Deposit Account No. 23-0975. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))							
must be filed and granted to restore the application to pending status.							
CUSTOMER NO. 000513 WENDEROTH, LINI 2033 "K" Street, Washington, D. Phone:(202					ration No. 25,134	tion No. 25,134	
					, LIND & PONACK, L.L.P. treet, N.W., Suite 800 on, D.C. 20006-1021 e:(202) 721-8200 (202) 721-8250		
	gust 2, 2006						

[CHECK NO. _____]
[2006_1191A]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shu KOBAYASHI : Mail Stop: PCT

Serial No. NEW : Attorney Docket No. 2006 1191A

Filed July 21, 2006

METHOD OF ENANTIOSELECTIVE NUCLEOPHILIC ADDITION REACTION OF ENAMIDE TO CARBONYL GROUP AND SYNTHESIS METHOD OF OPTICALLY ACTIVE α-HYDROXY-γ-KETO ACID ESTER AND HYDROXYDIKETONE [Corresponding to PCT/JP2005/001281 Filed January 24, 2005]

SUBMISSION OF ABSTRACT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The English translation of the International Application filed July 21, 2006 does not include a translation of the abstract of the International Application. An English translation of the abstract is submitted herewith.

Applicant notes that the attached translation of the abstract corresponds to the abstract in the published International Application (WO 2005/070864), a copy of the first page of which was filed July 21, 2006.

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Any PTO fee necessitated by the instant paper can be charged to Applicant's attorneys' PTO Deposit Account (No. 23-0975).

Respectfully submitted,

Shu KOBAYASHI

Michael R. Davis

Registration No. 25,134 Attorney for Applicant

MRD/kjf Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 August 2, 2006